

INVESTIGATION AND ENFORCEMENT

Weiner Brodsky Kider PC's Investigation and Enforcement Practice has in-depth knowledge, extensive experience and the keen legal insight necessary to counsel financial services industry clients facing inquiries from federal, state and local agencies. Our attorneys proactively advocate for our clients' best interests: avoiding charges if possible, settling if practical and litigating if required.

We represent clients in a variety of government investigations and enforcement proceedings initiated by federal and state agencies, including those pursued by the Consumer Financial Protection Bureau (CFPB); the Federal Trade Commission (FTC); the US Department of Housing and Urban Development (HUD), including its Office of the Inspector General (OIG) and Mortgagee Review Board (MRB); the US Department of Justice (DOJ); the Federal Housing Finance Agency (FHFA); and state attorneys general.

Our lawyers skillfully navigate clients through federal and state audits and examinations, as well as complex investigations involving noncompliance allegations, cease and desist orders, license suspension and revocation, and actions seeking indemnification, civil money penalties, debarment and termination of approvals.

REPRESENTATIVE MATTERS

- Investigations by HUD OIG and DOJ for alleged violations of the False Claims Act (FCA), Financial Institutions Reform, Recovery and Enforcement Act (FIRREA) and the Program Fraud Civil Remedies Act (PFCRA) in connection with the Federal Housing Authority (FHA) loan program
- CFPB administrative enforcement actions
- CFPB Civil Investigative Demands (CIDs)
- Concurrent subpoenas issued by multiple agencies, such as HUD OIG, DOJ and FHFA, to a single lender
- Investigations by state attorneys general and the Multi-State Mortgage Committee (MMC) regarding servicing practices